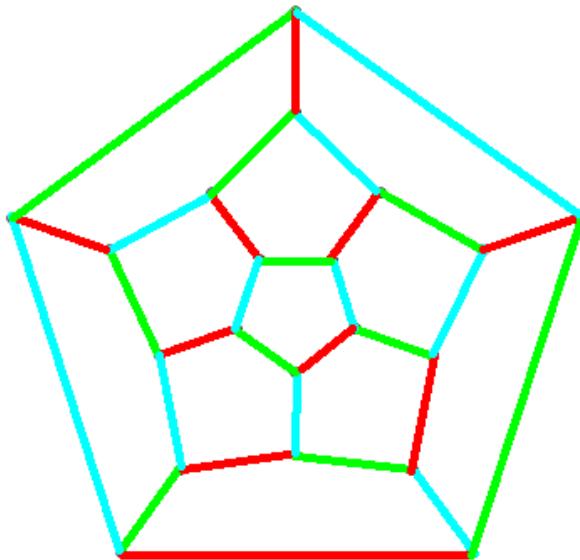


Combinatorial Nullstellensatz and its Algorithmic Aspects

Noga Alon, Tel Aviv U.



Hebrew U. Dec. 2016

I Nullstellensatz

Hilbert's Nullstellensatz (1893):

If F is an algebraically closed field, f, g_1, \dots, g_m polynomials in $F[x_1, x_2, \dots, x_n]$ and f vanishes whenever all g_i do, then there is $k \geq 1$ and polynomials h_i so that

$$f^k = \sum_i h_i g_i$$



Combinatorial Nullstellensatz [CN1](A-99):

Let F be a field, $f(x_1, x_2, \dots, x_n)$ a polynomial over F , let S_1, S_2, \dots, S_n be subsets of F , and put

$$g_i(x_i) = \prod_{s \in S_i} (x_i - s)$$

If f vanishes whenever all g_i do, then there are polynomials h_i with $\deg(h_i) \leq \deg(f) - \deg(g_i)$ and

$$f = \sum_i h_i g_i$$

Combinatorial Nullstellensatz [CN2] (A-99):

Let F be a field, $f(x_1, x_2, \dots, x_n)$ a polynomial over F , and t_1, t_2, \dots, t_n positive integers. If the degree of f is $t_1+t_2+\dots+t_n$, and the coefficient of

$$\prod_{i=1}^n x_i^{t_i}$$

in f is nonzero, then for any subsets S_1, \dots, S_n of F , where $|S_i| \geq t_i + 1$ for all i , there are s_i in S_i so that $f(s_1, \dots, s_n)$ is not 0.

Proofs of combinatorial statements obtained using this theorem are often **non-constructive, that is, provide no efficient algorithms for the corresponding algorithmic problems.**

II Distinct Sums

Thm [A (00), Dasgupta,Károlyi,Serra and Szegedy(01), Arsovski (11)]:

If p is a prime, and $k < p$ then for every $a_1, \dots, a_k \in \mathbb{Z}_p$ (not necessarily distinct) and every subset B of \mathbb{Z}_p , $|B|=k$, there is a numbering b_1, b_2, \dots, b_k of the elements of B so that all sums $a_i + b_i$ are **distinct** (in \mathbb{Z}_p).

Pf: Apply CN2 to $f=f(x_1, x_2, \dots, x_k)=$

$$\prod_{1 \leq i < j \leq k} (x_i - x_j) \prod_{1 \leq i < j \leq k} (x_i + a_i - x_j - a_j)$$

with $F=\mathbb{Z}_p$, $t_i=k-1$ and $S_i = B$ for all i .

Note: Here the coefficient of $\prod_{i=1}^k x_i^{k-1}$ is $k!$ which is nonzero modulo p

Several extensions follow by the **Dyson Conjecture**. Related results: **Karasev and Petrov (12).**

Question: Given a_1, a_2, \dots, a_k and a subset B of Z_p of cardinality k , can one find **efficiently** a numbering b_1, b_2, \dots, b_k of the elements of B so that all sums a_i+b_i are distinct (in Z_p).

III The Permanent Lemma

If A is an n by n matrix over a field, $\text{Per}(A) \neq 0$ and b is a vector in F^n then there is a 0/1 vector x so that $(Ax)_i \neq b_i$ in all coordinates.

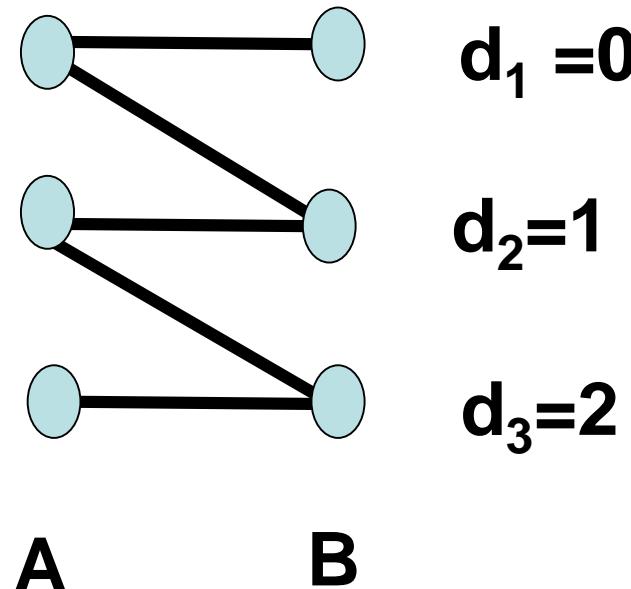
Proof: Apply CN2 to

$$f = \prod_{i=1}^n \left(\sum_{j=1}^n a_{ij}x_j - b_i \right)$$

with $t_1=t_2=\dots=t_n=1$, $S_i=\{0,1\}$ for all i .

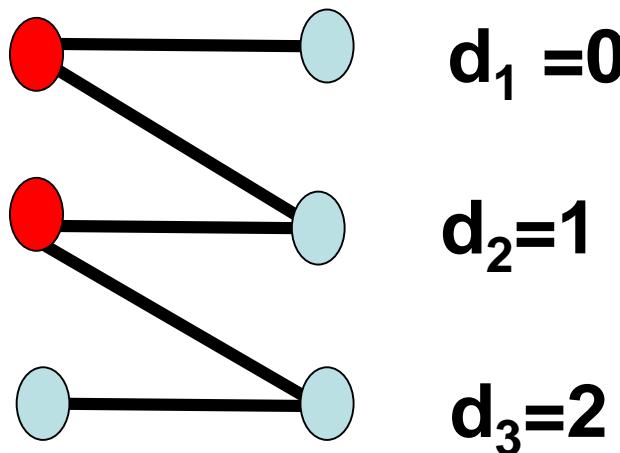
Corollary: If G is a **bipartite graph** with classes of vertices A, B , $|A|=|B|=n$, $B=\{b_1, b_2, \dots, b_n\}$ which contains a **perfect matching**, then for any integers d_1, \dots, d_n there is a subset X of A so that for each i the number of neighbors of b_i in X is not d_i

Example:



Corollary: If G is a **bipartite graph** with classes of vertices A, B , $|A|=|B|=n$, $B=\{b_1, b_2, \dots, b_n\}$ which contains a **perfect matching**, then for any integers d_1, \dots, d_n there is a subset X of A so that for each i the number of neighbors of b_i in X is not d_i

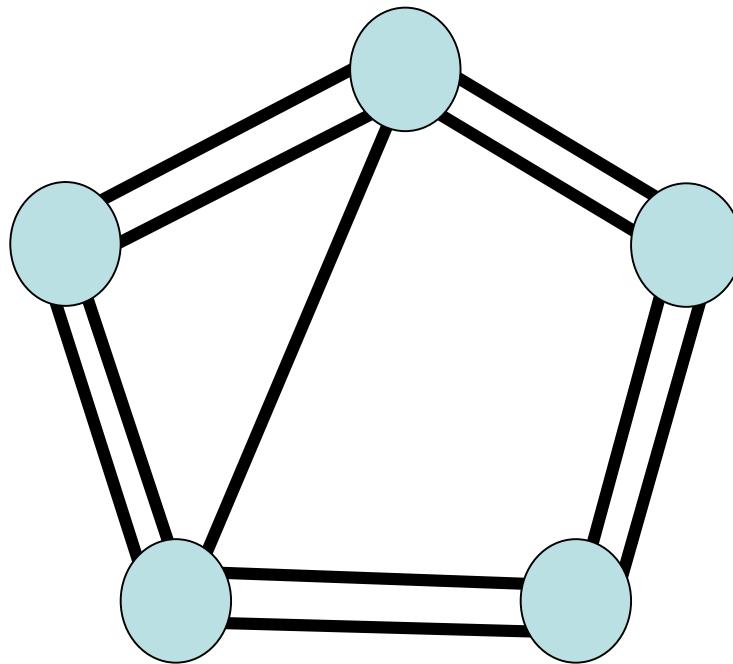
Example:



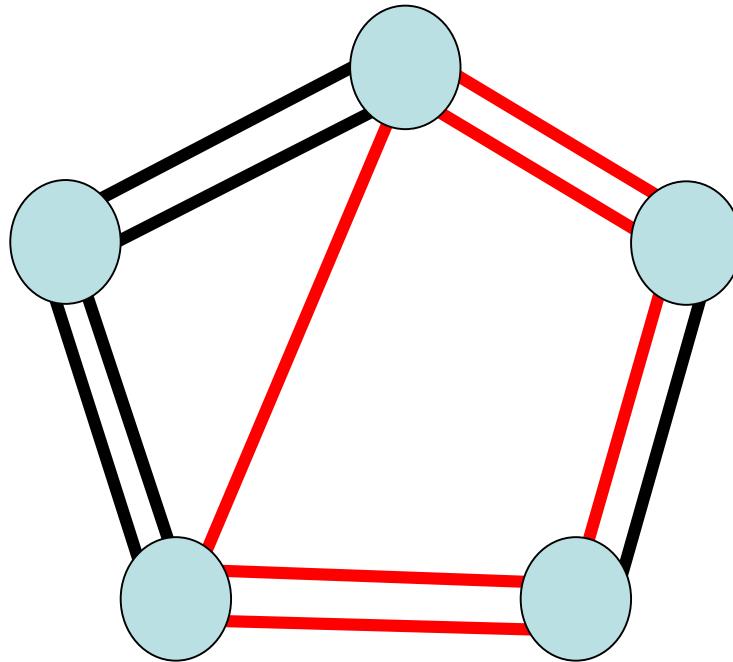
Problem: Given a bipartite graph with a perfect matching on the vertex classes A and $B=\{b_1, \dots, b_n\}$, and given integers d_1, \dots, d_n , can one find **efficiently** a subset X of A so that the number of neighbors of each b_i in X is not d_i ?

IV Regular subgraphs

Thm (A-Friedland-Kalai (84)): Any (multi)graph with average degree > 4 and maximum degree at most 5 contains a **3-regular subgraph**.



Thm (A-Friedland-Kalai (84)): Any (multi)graph with average degree > 4 and maximum degree at most 5 contains a **3-regular subgraph.**



Proof using CN2: Let $G=(V,E)$ be such a graph, and put $a_{v,e}=1$ if v lies in e , 0 otherwise.

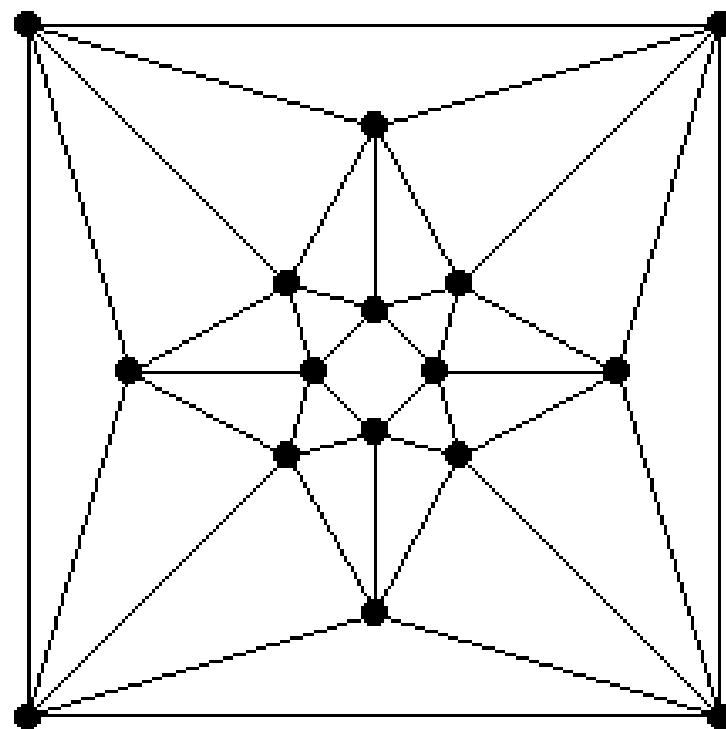
Apply CN to the following polynomial in the variables x_e over Z_3 :

$$\prod_{v \in V} [1 - (\sum_{e, v \in e} a_{v,e} x_e)^2] - \prod_{e \in E} (1 - x_e)$$

with $S_e = \{0,1\}$ for all e .

The edges of the required subgraph are all e with $x_e = 1$. ■

Open: Given a graph with average degree > 4 and maximum degree 5, can we find **efficiently** a **3-regular subgraph** ?



Thm (Pyber): Any graph with n vertices and at least $100 n \log n$ edges contains a **3-regular subgraph**

Proof is by showing that any such graph contains a subgraph with maximum degree 5 and average degree bigger than 4

Open: given such a graph, can we find **efficiently** a 3-regular subgraph in it ?

V Graph Coloring

The **choice number $ch(G)$** (or list chromatic number) of a graph $G=(V,E)$ is the minimum k so that for any assignment of a list L_v of k colors to each vertex v , there is a proper coloring f of G with $f(v) \in L_v$ for each v .

This was defined independently by **Vizing(76)** and by **Erdös, Rubin and Taylor (79)**.

Clearly $ch(G) \geq \chi(G)$ for every G .

(Very) strict inequality is possible.

Sylvester (1878), Petersen (1891): The graph polynomial of a graph $G=(V,E)$ on the set of vertices $V=\{1,2,\dots,n\}$ is

$$f_G(x_1, \dots, x_n) = \prod_{ij \in E, i < j} (x_i - x_j)$$

If S_1, S_2, \dots, S_n are finite lists of colors (represented by real or complex numbers) then there are s_i in S_i so that $f_G(s_1, \dots, s_n) \neq 0$ iff there is a **proper coloring** of G assigning to each vertex i a color from its list S_i .

By **CN1**, a graph G is not 3-colorable iff there are polynomials h_i so that

$$f_G = \sum_i h_i (x_i^3 - 1)$$

Exercise: use this fact to prove that K_4 is not 3-colorable.

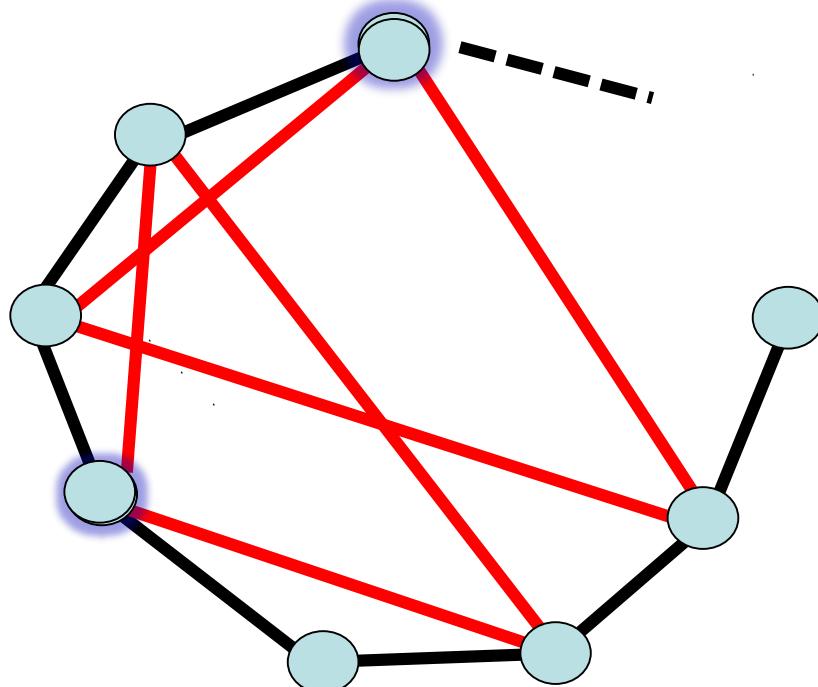
(Remark: This does not prove that **NP=co-NP**)

By CN2, if G has kn edges and the coefficient of $\prod x_i^k$ in f_G is nonzero, then $ch(G) \leq k+1$

In A-Tarsi(92) this coefficient is interpreted combinatorially in terms of Eulerian orientations of G .

The cycle+triangles conjecture (Du, Hsu, Hwang (90)):

Let $G=(V,E)$ be a graph on $3n$ vertices whose edges are the union of a Hamilton cycle (of length $3n$) and n pairwise vertex disjoint triangles. Then G contains an **independent set** of size n .



A stronger conjecture (Erdős (91)): Any such G is **3-colorable**

Thm (Fleischner and Stiebitz (92)): Any such G is **3-choosable**: for any assignment of a list of 3 colors to each vertex, there is a proper vertex coloring assigning to each vertex a color from its list.

To prove it they show that the relevant coefficient (expressed in terms of Eulerian orientations) is nonzero.

Open: Given a graph G on $3n$ vertices whose edges are the union of a Hamilton cycle and n disjoint triangles, can one find **efficiently** an **independent set of size n** in G ?

Can we find **efficiently** a **proper 3-coloring** of the vertices?

Given lists of size 3 for the vertices, can we find **efficiently** a **proper vertex coloring** assigning to each vertex a color from its **list** ?

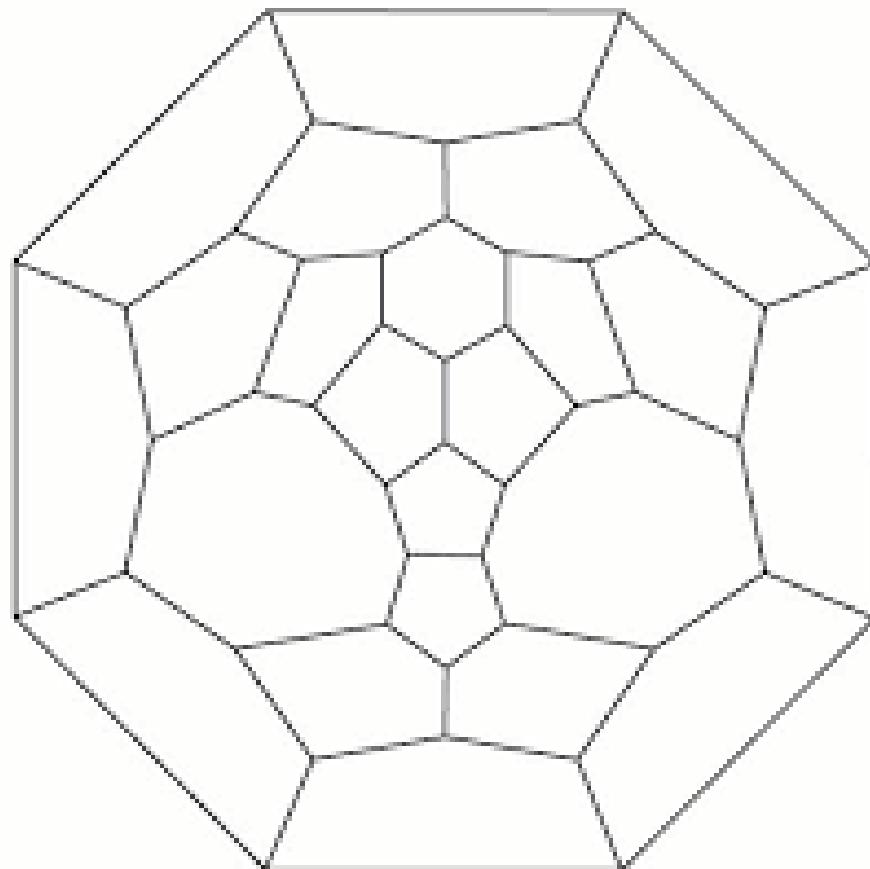
A similar reasoning provides a strengthening of the **Four Color Theorem (4CT)**.

By Tait, the 4CT (Appel and Haken (76), Robertson, Sanders, Seymour and Thomas (96)) is equivalent to the fact that the **chromatic number** of the line graph of any **cubic, bridgeless planar** graph is 3.

A-Jaeger-Tarsi (same + extension by Ellingham-Goddyn): The **choice number** of the line graph of any **cubic, bridgeless, planar** graph is 3.

This is proved using CN2, by showing that the relevant coefficient of the graph polynomial is the number of proper 3 colorings of this line graph, which is nonzero, by 4CT

Open: Given a cubic, bridgeless, planar graph with a list of 3 colors for every edge, can one find **efficiently a proper coloring of the edges assigning to each edge a color from its list ?**



VI Hardness

Are these algorithmic problems complete for some natural complexity classes (like PPAD)?

Prop: The following algorithmic problem is at least as hard as **inverting one-way permutations** (e.g., computing **discrete logarithm** in \mathbb{Z}_p^*) :

Given an arithmetic circuit computing an f in $F[x_1, \dots, x_n]$ with $\deg(f) = \sum_i t_i$ and coefficient of

$$\prod_i x_i^{t_i}$$

being nonzero, and given S_i in F of size $t_i + 1$, **find** s_i in S_i with $f(s_1, \dots, s_n) \neq 0$.

However, the problems discussed here (**distinct sums**, **forbidden degrees**, **3-regular subgraphs**, **cycle+triangles**, **choice 4CT**) and similar additional ones may be simpler.

Are they ?

